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# ***Feedback***

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**\*(with thanks to SDS)**

# Outline

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- > **Motivation for using feedback**
- > **The uses of (linear) feedback**
- > **Feedback on Nonlinear Systems**
  - **Quasi-static systems**
  - **Oscillators**

# Why use feedback?

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- > For actuators, how do you know when you have actuated?
  - You can calibrate/calculate/etc., but what about drifts?
- > Adding a sensor can tell you *where you are*
- > Combining the sensor + actuator with feedback can keep you where you are

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**An optical attenuator that uses**

- **MEMS *actuator***
- ***Senses* optical output**
- **Uses *feedback* to control attenuation**

# Why use feedback?

> For sensors, feedback can be used to enhance sensor response

- E.g., keep sensitivity constant

> Must add an *actuator* to do this

An accelerometer that uses

- MEMS tunneling *sensor*
- Electrostatic *actuation*
- Uses *feedback* to control tunneling current (and thus gap)

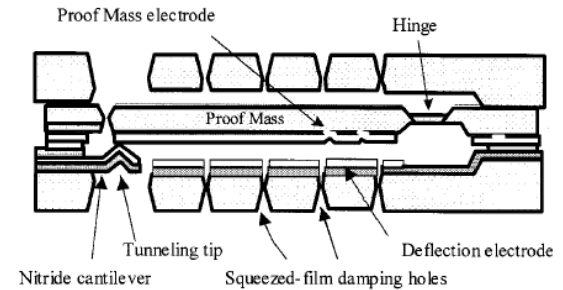


Figure 1 on p. 426 in: Liu, C.-H., and T. W. Kenny. "A High-precision, Wide-bandwidth, Micromachined Tunneling Accelerometer." *Journal of Microelectromechanical Systems* 10, no. 3 (September 2001): 425-433. © 2001 IEEE.

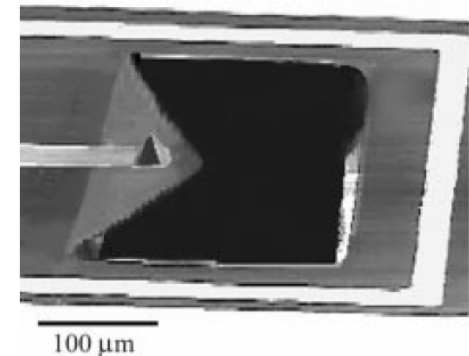


Figure 3a) on p. 426 in: Liu, C.-H., and T. W. Kenny. "A High-precision, Wide-bandwidth, Micromachined Tunneling Accelerometer." *Journal of Microelectromechanical Systems* 10, no. 3 (September 2001): 425-433. © 2001 IEEE.

# Feedback in MEMS

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- > Since MEMS is often concerned with making sensors for measurements or actuators to do something, feedback is integral to the subject**
- > Here we will examine some of the basic uses of feedback**
  - Limit sensitivity to variations**
  - Speed up system**
  - Stabilize unstable systems**
- > At the end, we will look at feedback in nonlinear systems, which is useful for making oscillators**

# Outline

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- > **Motivation for using feedback**
- > **The uses of (linear) feedback**
- > **Feedback on Nonlinear Systems**
  - **Quasi-static systems**
  - **Oscillators**

# Example: A MEMS hotplate

- > Used for gas sensor
- > Heat up active material, which reacts with gas and changes resistance
- > Thermal MEMS is used because

- Low power
- Fast
- Arrayable

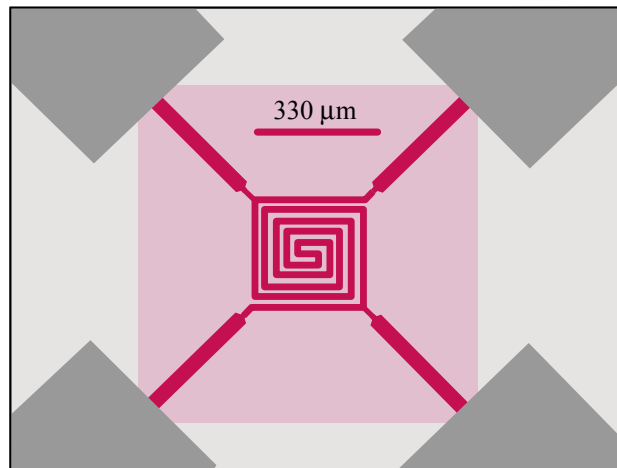


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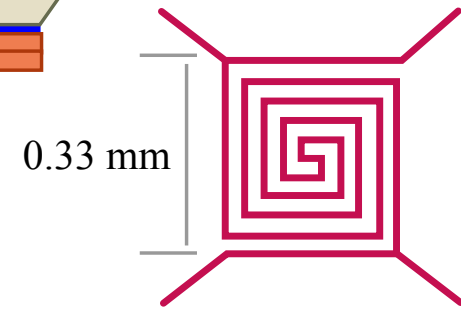
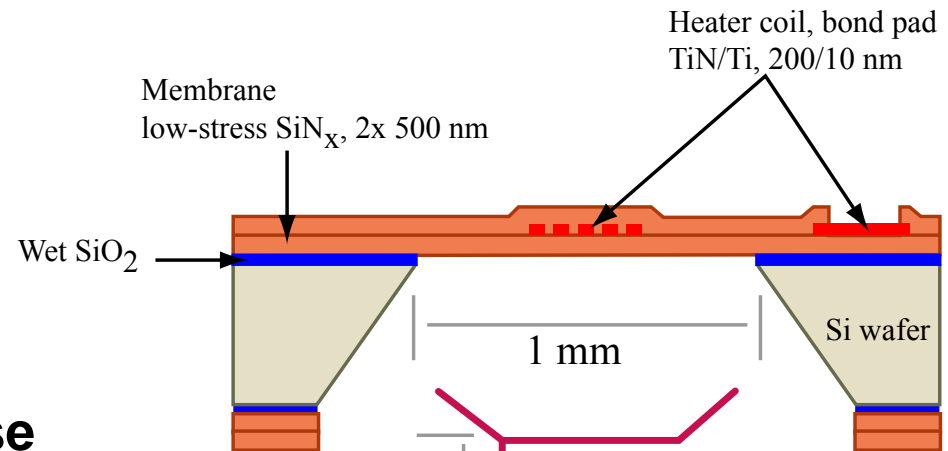


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# The Canonical Feedback System

- > In controls, terminology refers to the plant, the controller, the state sensor, and the comparison point

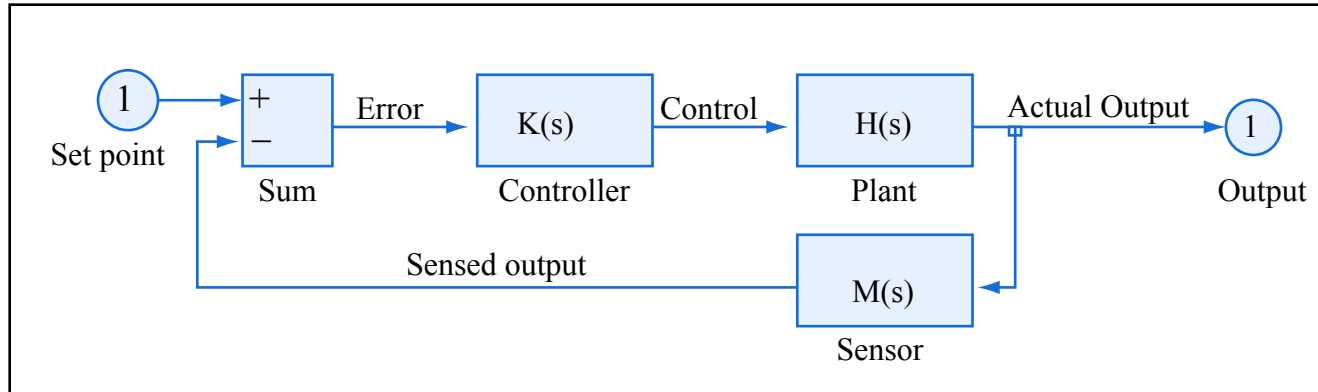


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Adapted from Figure 15.1 in Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 397. ISBN: 9780792372462.

## Example: micro hotplate

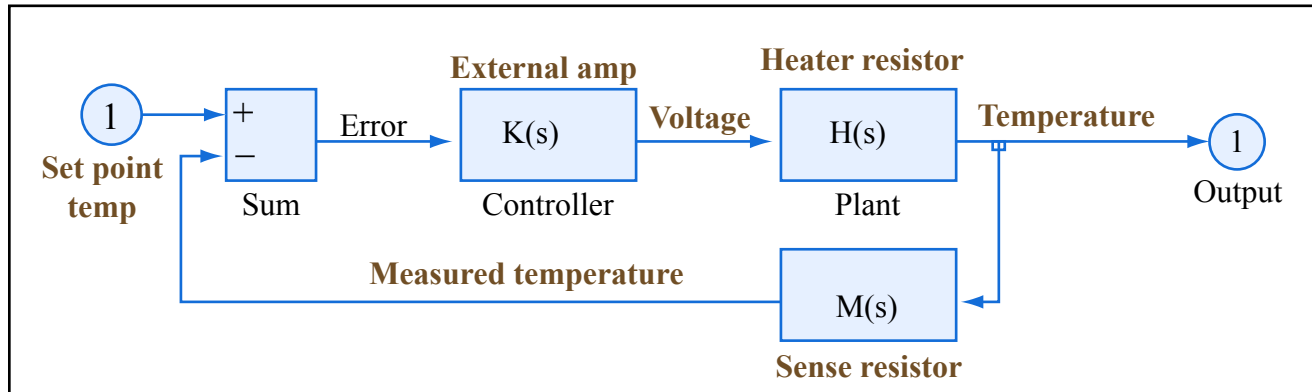


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Adapted from Figure 15.1 in Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 397. ISBN: 9780792372462.



# Adding Noise and Disturbances

- > Noise corrupts the sensor output
- > Disturbances modify the control input to the plant
- > In some cases, what we want to measure is the disturbance (a feedback-controlled accelerometer)

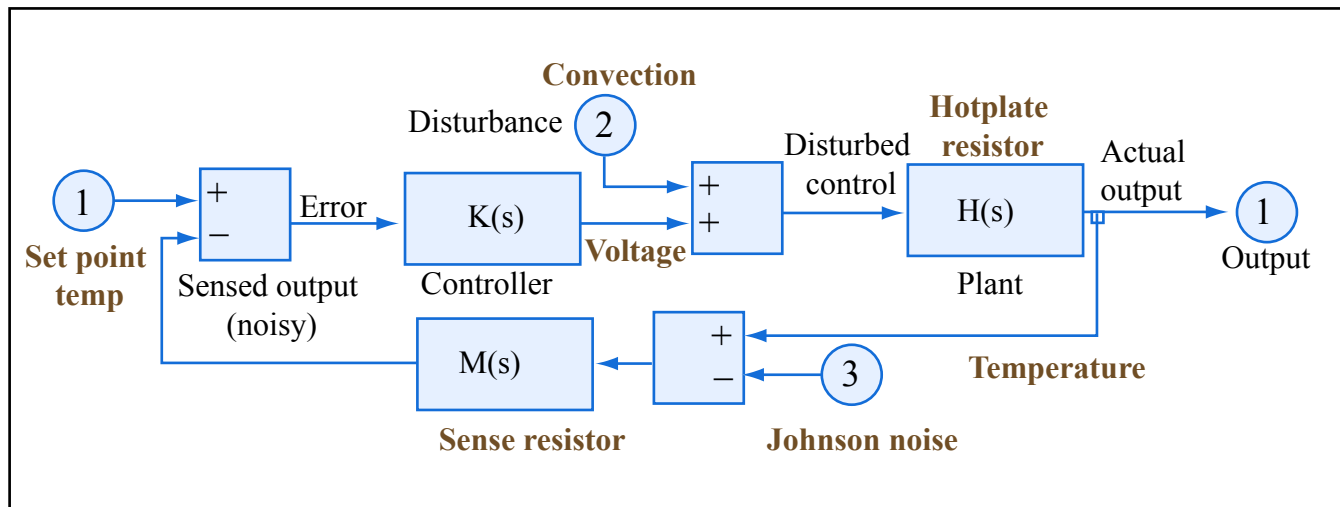
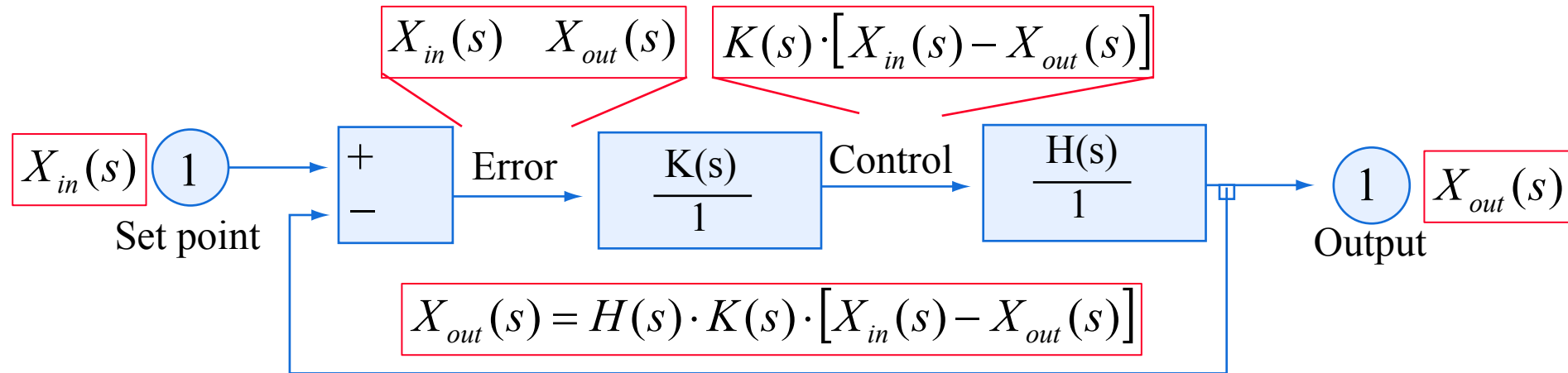


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Adapted from Figure 15.2 in Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 398. ISBN: 9780792372462.

# Linear Feedback: Black's Formula

- > For a LTI system, we can use Laplace transforms to create an algebraic closed-loop transfer function
  - Assume sensor has (perfect) unity transfer function



$$X_{out}(s) = \frac{H(s) \cdot K(s)}{1 + H(s) \cdot K(s)} X_{in}(s)$$

**Black's formula**

$$\frac{X_{out}(s)}{X_{in}(s)} = \frac{\text{forward gain}}{1 - \text{loop gain}}$$

Image by MIT OpenCourseWare.  
Adapted from Figure 15.3 in Senturia, Stephen D.  
*Microsystem Design*. Boston, MA: Kluwer Academic  
Publishers, 2001, p. 399. ISBN: 9780792372462.

# Open-Loop Operation

## > Control hot plate via calibration

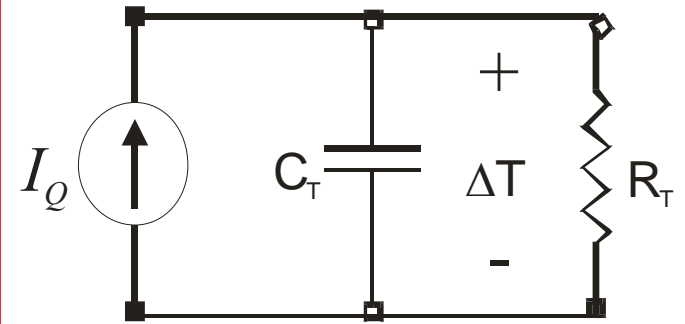
- Assume hotplate has 1<sup>st</sup>-order response with  $s_0 \sim 400$  rad/s ( $f_0 \sim 65$  Hz)
- Assume controller has no dynamics

$$H(s) = \frac{A_0 s_0}{s + s_0} \quad K(s) = K_0$$

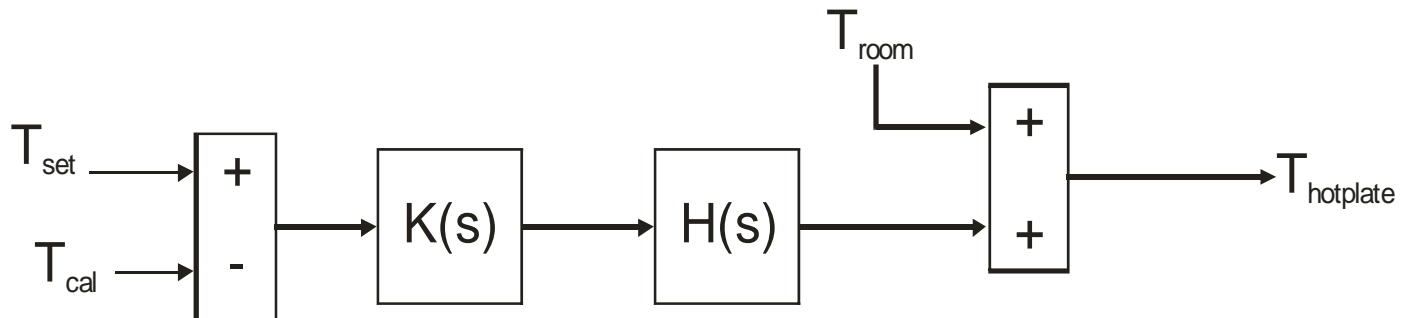
## > Works great if there are no disturbances or drifts in system

## > Any deviations cause steady-state error

Remember...



$$\frac{\Delta T}{I_Q} = \frac{R_T}{1 + R_T C_T s} = \frac{R_T}{1/R_T C_T + s}$$

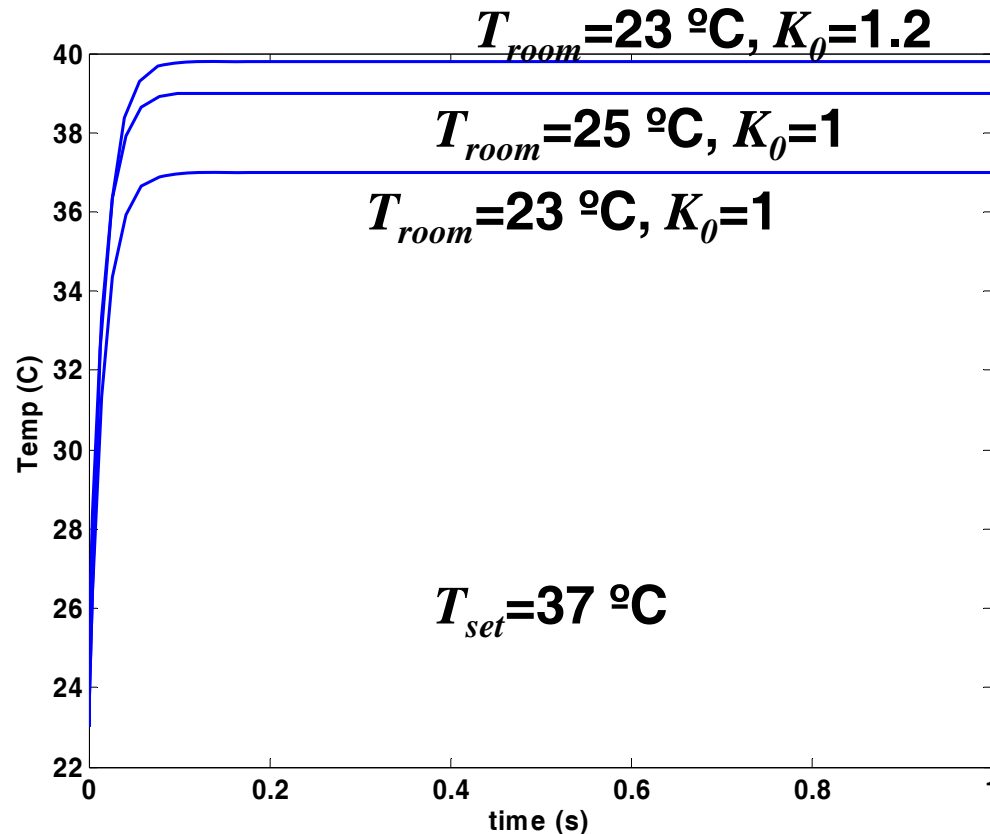


$$T_{hotplate} = T_{room} + H(s)K(s)(T_{set} - T_{cal})$$

# Open-Loop Operation

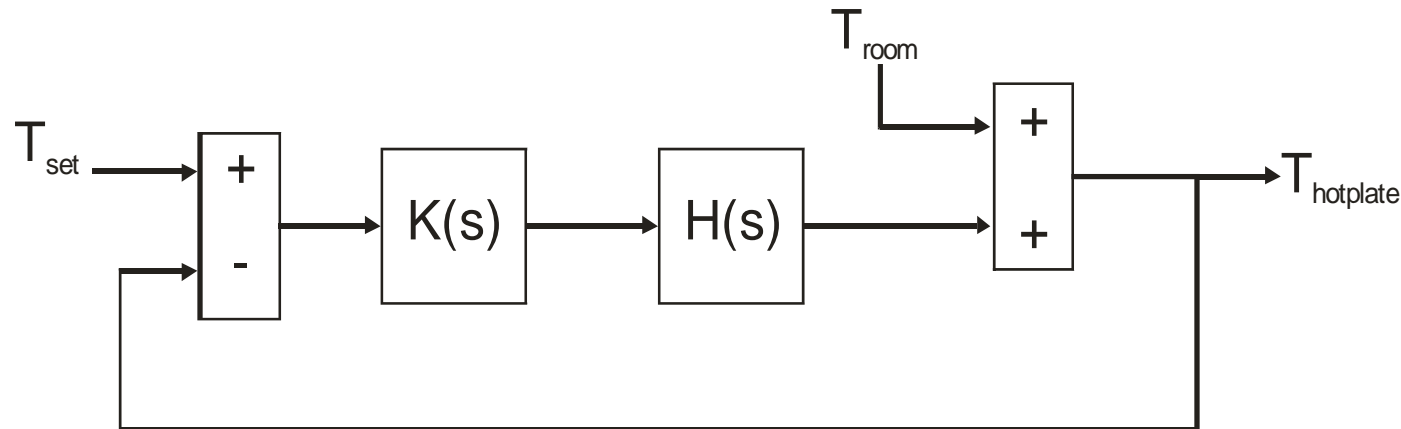
- > Response is sensitive to variations in controller, plant, and disturbances

$$A_0=1$$
$$T_{cal}=23\text{ }^\circ\text{C}$$



# Feedback use #1: limit sensitivity to variations

- > Add in term proportional to error
- > This is called *proportional control*



$$\begin{aligned} T_{hotplate} &= \frac{HK}{1+HK} T_{set} + \frac{1}{1+HK} T_{room} \\ &= \frac{\frac{A_0 s_0}{s+s_0} \cdot K_0}{1 + \frac{A_0 s_0}{s+s_0} \cdot K_0} T_{set} + \frac{1}{1 + \frac{A_0 s_0}{s+s_0} \cdot K_0} T_{room} \end{aligned}$$

**Closed-loop TF**

$$T_{hotplate} = \frac{A_0 K_0 s_0}{s + s_0 (1 + A_0 K_0)} T_{set} + \frac{s + s_0}{s + s_0 (1 + A_0 K_0)} T_{room}$$

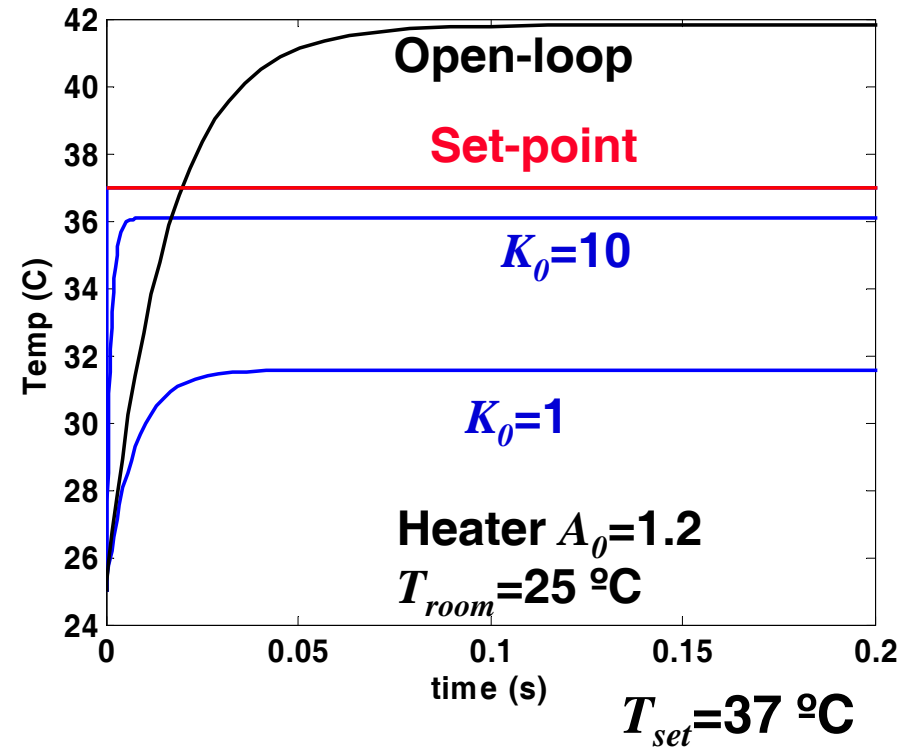
# Close the loop

> Error  $\rightarrow 0$  as  $K_0$  increases, despite

- Variations in device ( $A_0$ )
- Variations in plant ( $K_0$ )
- Disturbances ( $T_{\text{room}}$ )

> In limit of large  $K_0$ , system responds “perfectly”

- Though DC error never goes exactly to zero



## Steady-state (DC) Error

$$\varepsilon(s)\Big|_{s=0} = T_{\text{set}} - T_{\text{hotplate}} = \frac{1}{1 + A_0 K_0} T_{\text{set}} - \frac{1}{1 + A_0 K_0} T_{\text{room}}$$

# Feedback use #2: increase system bandwidth

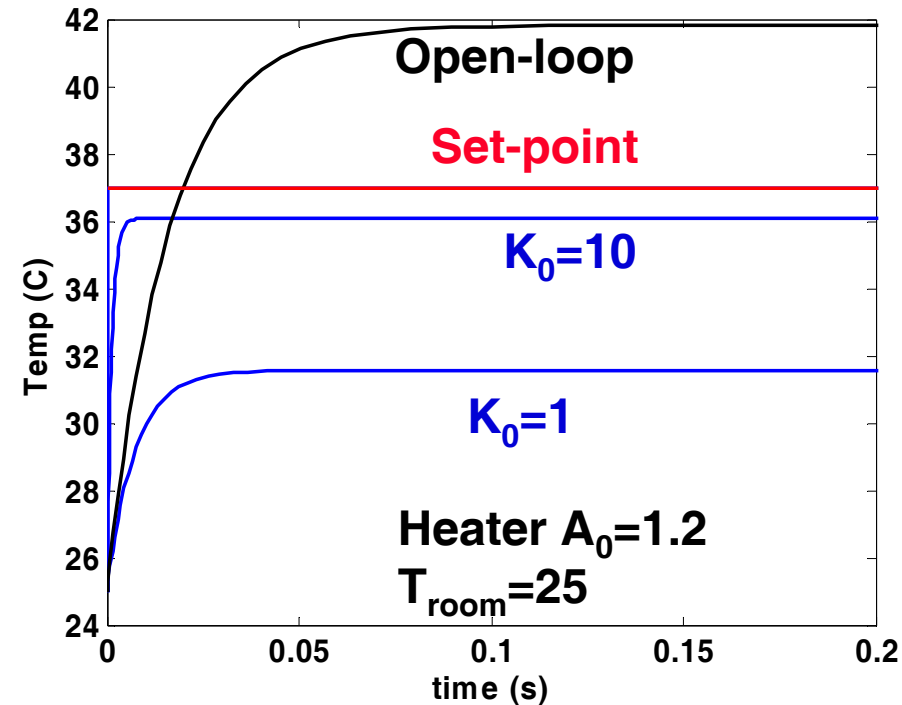
> Settling time goes down

> Bandwidth goes up

$$H(s) = \frac{A_0 s_0}{s + s_0}$$

$$\frac{T_{hotplate}}{T_{set}} = H_{cl}(s) \approx \frac{A_0 K_0 s_0}{s + s_0 (1 + A_0 K_0)}$$

$$s_0 \rightarrow s_0 (1 + A_0 K_0)$$



# Controlling a 2<sup>nd</sup>-order system

- > Vibration sensor
  - Really just an z-axis accelerometer
- > Use feedback to keep gap constant
- > In this case, control signal measures vibration
- > Mechanical “plant” is a SMD

$$H(s) = \frac{X_{out}(s)}{F(s)} = \left(\frac{1}{k}\right) \frac{1}{\hat{s}^2 + \frac{1}{Q}\hat{s} + 1}$$

$$\text{where: } \hat{s} = s/\omega_0, \omega_0 = \sqrt{k/m}, Q = m\omega_0/b$$

- Set  $Q=1/2$  (critically damped)
- Set  $k=1$  for convenience

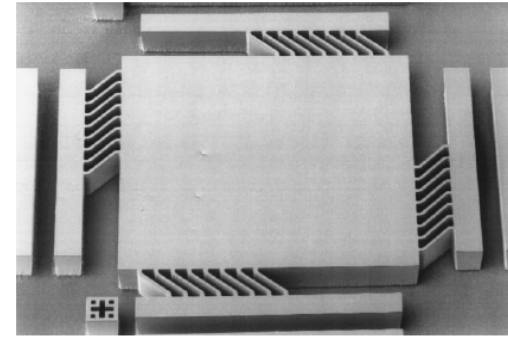


Figure 4 on p. 435 in: Bernste in, J., R. Miller, W. Kelley, and P. Ward. "Low-noise MEMS Vibration Sensor for Geophysical Applications." *Journal of Microelectromechanical Systems* 8, no. 4 (December 1999): 433-438. © 1999 IEEE.

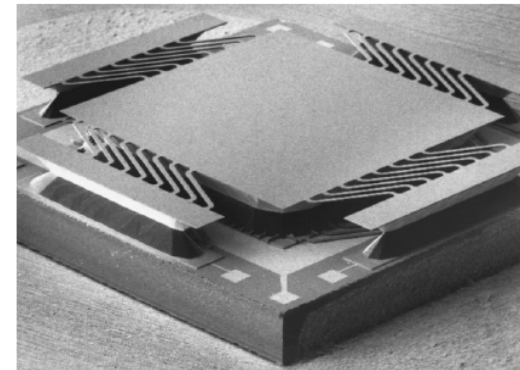


Figure 6 on p. 435 in: Bernste in, J., R. Miller, W. Kelley, and P. Ward. "Low-noise MEMS Vibration Sensor for Geophysical Applications." *Journal of Microelectromechanical Systems* 8, no. 4 (December 1999): 433-438. © 1999 IEEE.



# Proportional control of 2<sup>nd</sup>-order system

> Use ideal controller  $K(s)=K_0$

> This gives us two overall poles:

- Two from SMD  $H(s)$
- None from controller  $K(s)$

$$\frac{X_{out}(s)}{X_{in}(s)} = \frac{H(s)K(s)}{1+H(s)K(s)} = \frac{K_0}{\hat{s}^2 + \frac{1}{Q}\hat{s} + (K_0 + 1)}$$

> Some results are same as 1<sup>st</sup>-order system

- Decreasing DC error as  $K_0$  increases
- System speeds up

> Some differences:

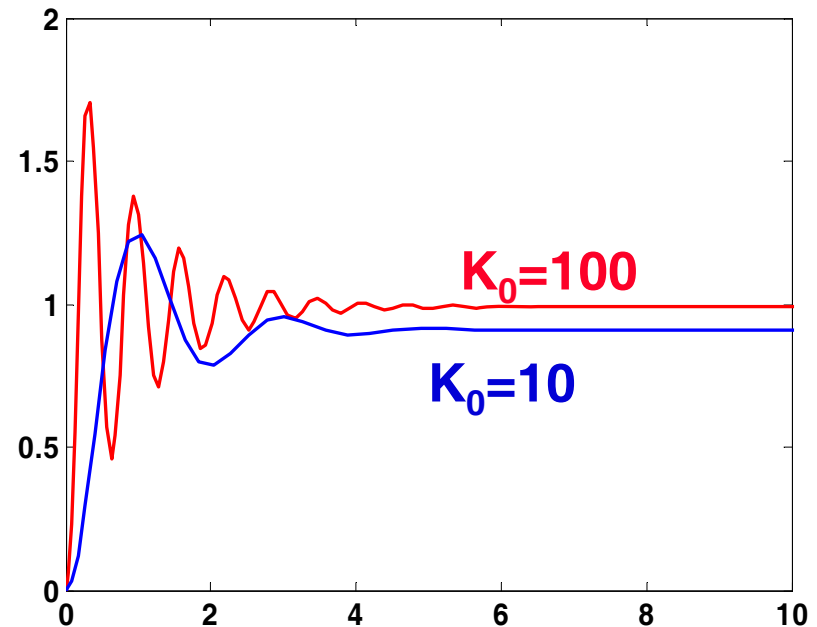
- $Q$  of closed-loop response increases with increasing DC gain

> This means that our critically damped system is now underdamped

- This can be *bad or fatal* for our system

$$\omega_{0,cl} = \sqrt{K_0 + 1}$$

$$Q_{cl} = Q\sqrt{K_0 + 1}$$



# Control of complex systems

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- > Dynamics of closed-loop system are determined by  $H(s)K(s)$
- > Thus, behavior seen with 2<sup>nd</sup>-order SMD system will also occur with 1<sup>st</sup>-order thermal system coupled to 1<sup>st</sup>-order controller
- > What happens when we add an additional pole?

# Single-Pole Controller (Real amp)

> Take SMD and control with 1<sup>st</sup>-order controller

> The system now has three poles

> When going to large  $K_0$ , the system goes unstable

- This happens if one of the roots has real positive part

> Routh test can be used to find maximum gain

$$K(s) = \frac{K_0}{1 + \hat{s}\hat{\tau}}$$

$\hat{\tau} = \omega_0\tau$   
controller time constant

$$\frac{X_{out}(s)}{X_{in}(s)} = \frac{K_0}{\hat{\tau}\hat{s}^3 + (1 + 2\hat{\tau})\hat{s}^2 + (2 + \hat{\tau})\hat{s} + K_0 + 1}$$

Routh test for third - order system :

$$a_3s^3 + a_2s^2 + a_1s + a_0$$

All coefficients ( $a_n$ ) must have same sign

$$\text{AND } a_2a_1 > a_0a_3$$

⇓

$$K_0 < \frac{1}{Q} \left( \frac{1}{Q} + \hat{\tau} + \frac{1}{\hat{\tau}} \right)$$

# 2<sup>nd</sup>-order vs. 3<sup>rd</sup>-order systems

> **Stable system at all loop gains**

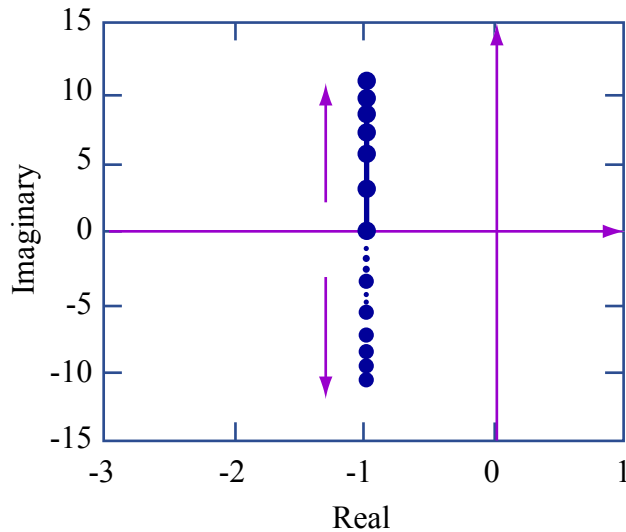


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Adapted from Figure 15.5 in: Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 402. ISBN: 9780792372462.

> **Unstable system at sufficiently high loop gain**

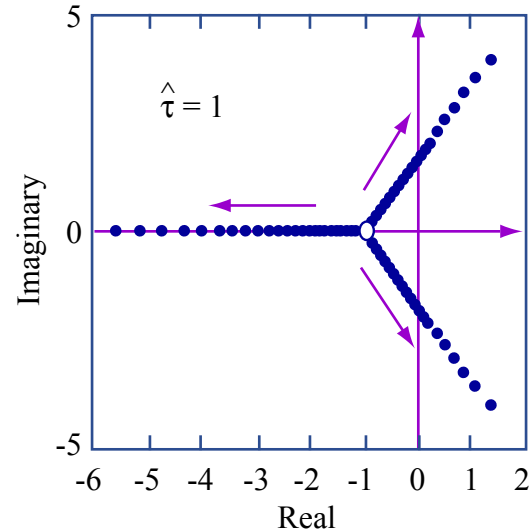


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Adapted from Figure 15.6 in: Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 403. ISBN: 9780792372462.

# Effect of controller bandwidth

- > Controller bandwidth < Plant bandwidth causes controller to dominate overall response

$$Q=1/2$$

$$\omega_0=1$$

$$K_0=6$$

$$\tau=1$$

$$\tau=100$$

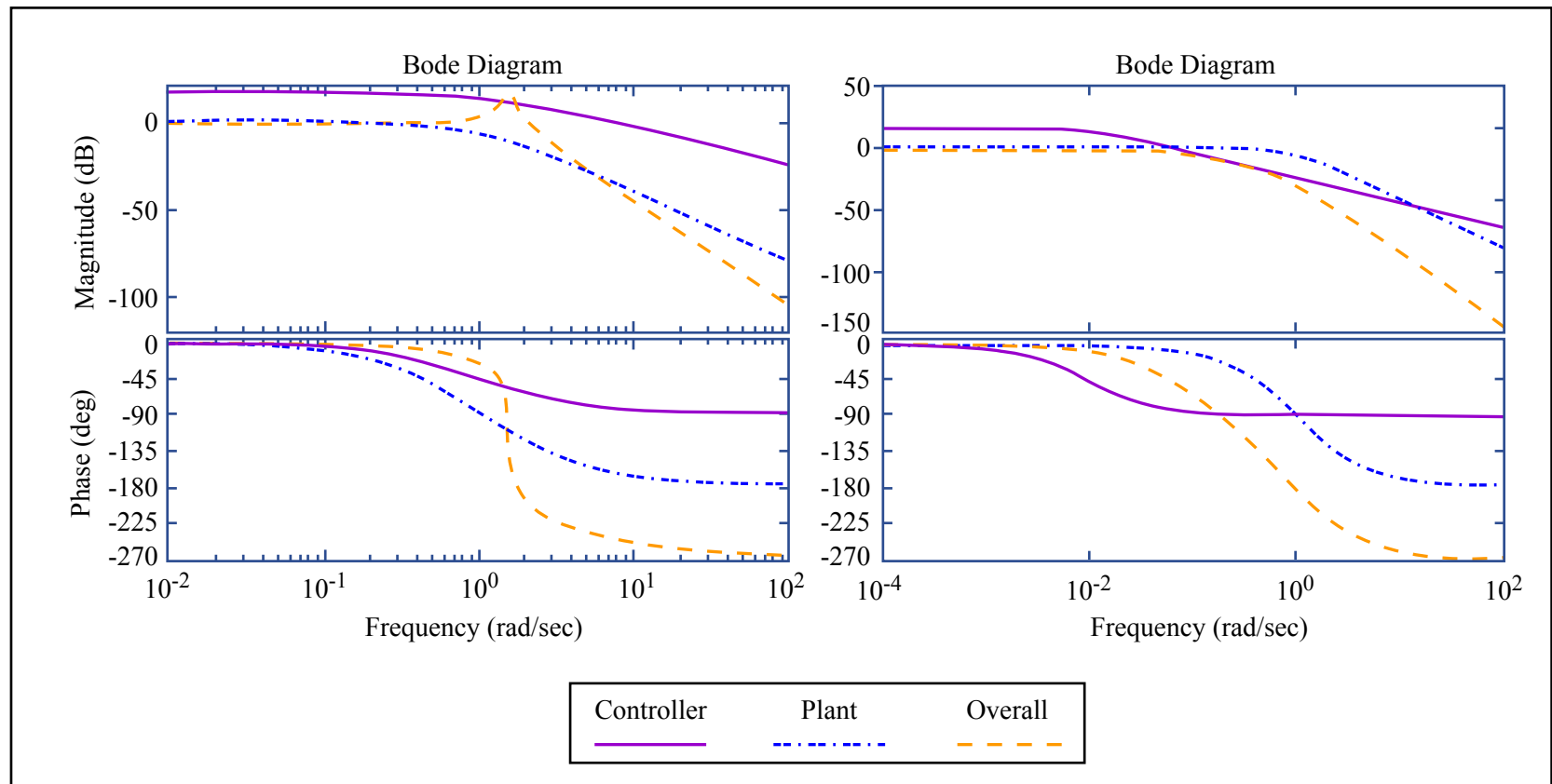


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# Effect of controller bandwidth

- > Controller bandwidth > Plant bandwidth causes plant to dominate overall response

$$Q=1/2$$

$$\omega_0=1$$

$$K_0=6$$

$$\tau=1$$

$$\tau=0.01$$

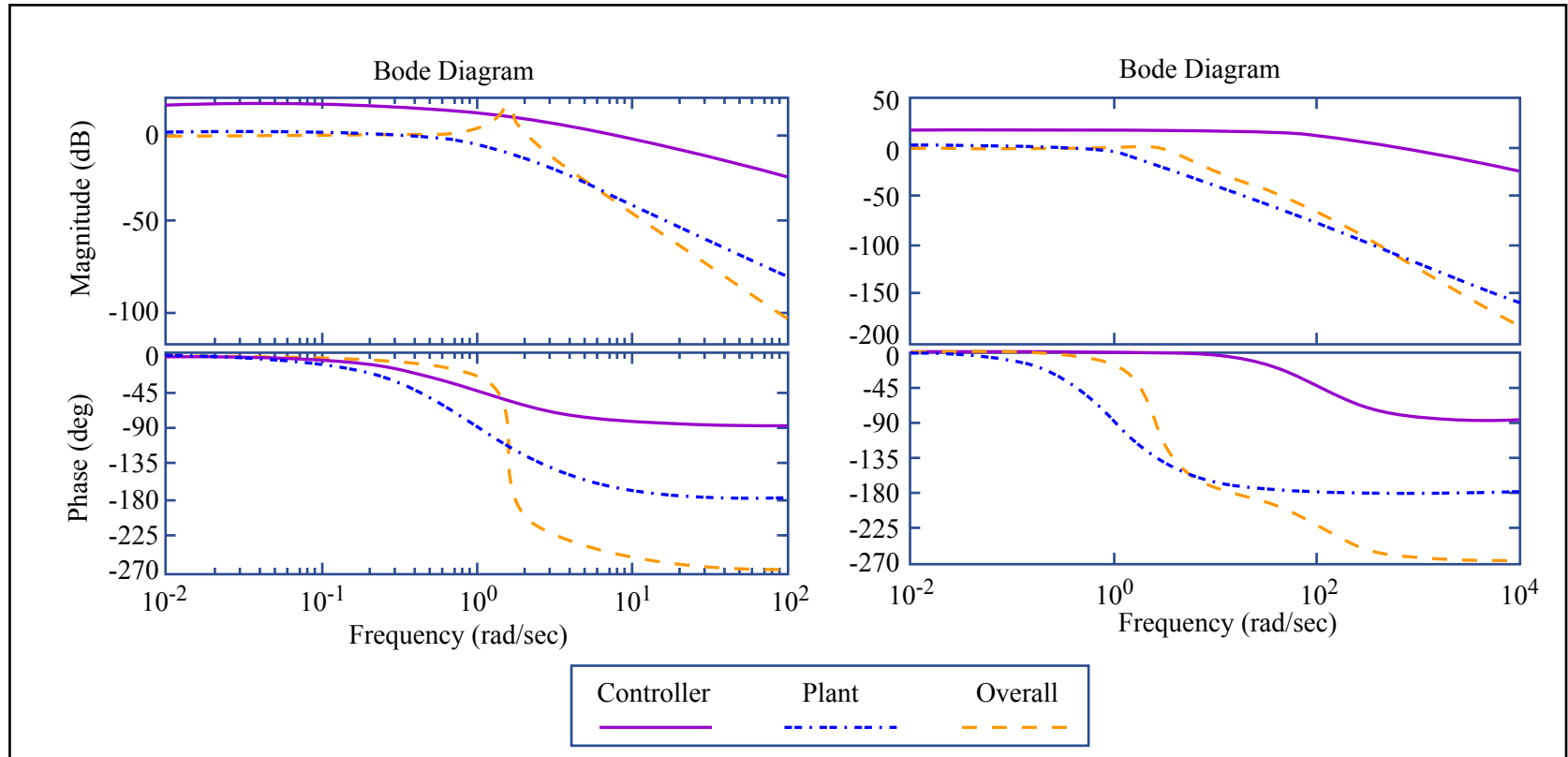


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# PI control

- > Add pole at  $s=0$
- > This gives  $K(0) \rightarrow \infty$

- And thus **no DC error**

## > Benefits

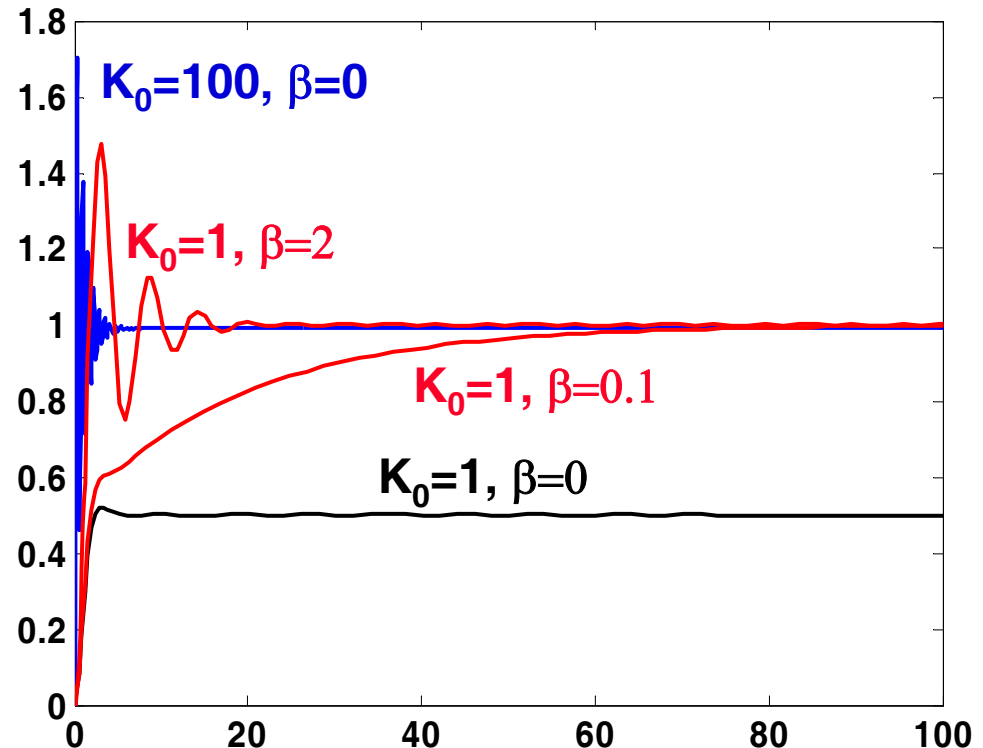
- As long as  $\beta \neq 0$ , will get perfect DC tracking, but it may take awhile
- Completely insensitive to changes in plant at DC

## > Drawbacks

- Additional pole means possibility of ringing and instability

Proportional - Integral (PI) Control :

$$K(s) = K_0 \left( 1 + \frac{\beta}{s} \right)$$



# PID control

> Final generic term is to add in differential feedback

- Anticipate future

> “Tame” ringing and instability due to integral and proportional control

> Methods exist to tune PID controllers

Proportional - Integral - Differential (PID) Control :

$$K(s) = K_0 \left( 1 + \frac{\beta}{s} + \gamma s \right)$$

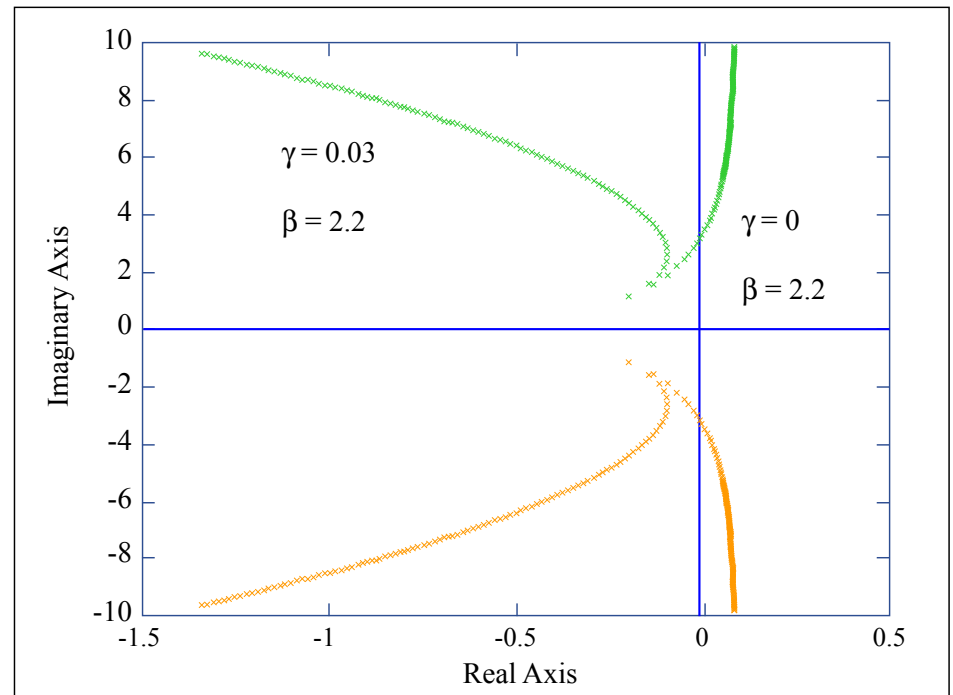


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# Stabilization of unstable systems

- > Use of feedback
- #3: Stabilize an unstable system
- > Stabilize electrostatic actuator beyond pull-in
- > Most approaches use feedback to approximate charge control

Piyabongkarn (2005), IEEE Trans. Control Systems Tech.

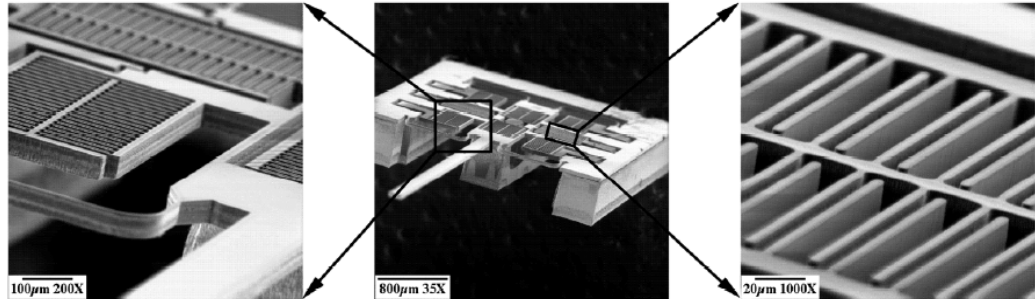


Figure 2 on p. 139 in: Piyabongkarn, D., Y. Sun, R. Rajamani, A. Sezen, and B. J. Nelson. "Travel Range Extension of a MEMS Electrostatic Microactuator." *IEEE Transactions on Control Systems Technology* 13, no. 1 (January 2005): 138-145 © 2005 IEEE.

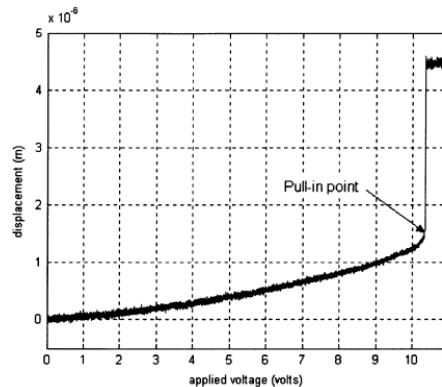


Figure 6 on p. 140 in: Piyabongkarn, D., Y. Sun, R. Rajamani, A. Sezen, and B. J. Nelson. "Travel Range Extension of a MEMS Electrostatic Microactuator." *IEEE Transactions on Control Systems Technology* 13, no. 1 (January 2005): 138-145. © 2005 IEEE.

**no feedback**

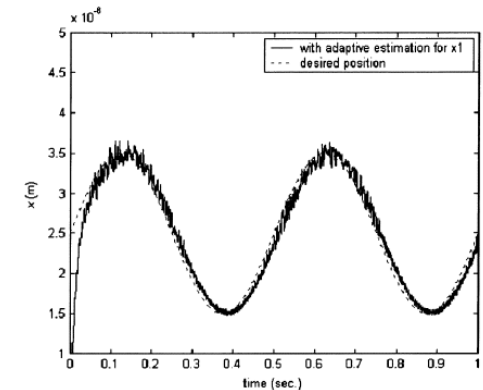


Figure 11 on p. 144 in: Piyabongkarn, D., Y. Sun, R. Rajamani, A. Sezen, and B. J. Nelson. "Travel Range Extension of a MEMS Electrostatic Microactuator." *IEEE Transactions on Control Systems Technology* 13, no. 1 (January 2005): 138-145. © 2005 IEEE.

**with feedback**

# Stabilization of unstable systems

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## > However:

- All potentially unstable modes must be both observable and controllable
- Observable means that the sensor provides state information about the mode
- Controllable means that the control inputs can modify the mode
- If a mode has both attributes, it can be stabilized (at least in theory) with feedback

> Adding sensors to a system improves observability of modes

> Adding actuators improves controllability

> This can be generalized from unstable to unwanted...

# Control for MEMS

- > Electrostatic traps for cells
- > The goal is to trap single cells at each site
- > System is currently run open loop
- > Could we do better if we ran closed-loop?
- > Need to sense: optical or electrical
- > Need to actuate
  - This is hard...

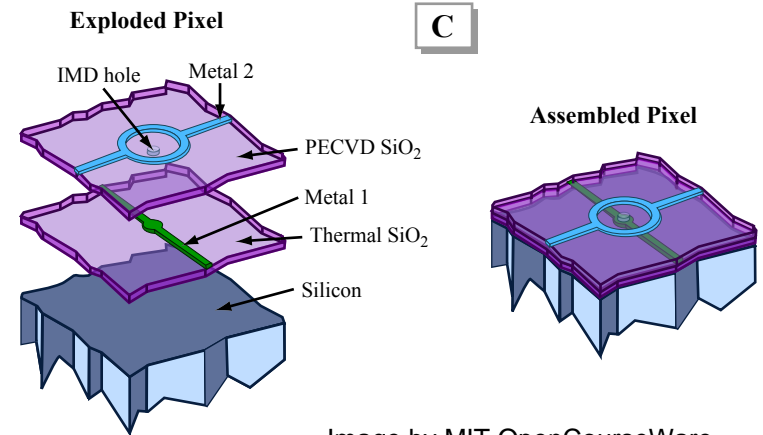


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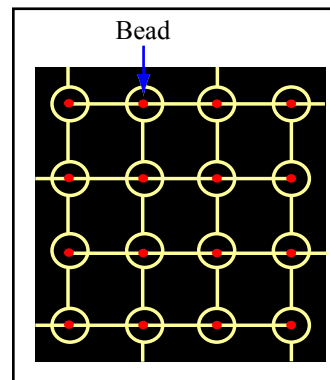


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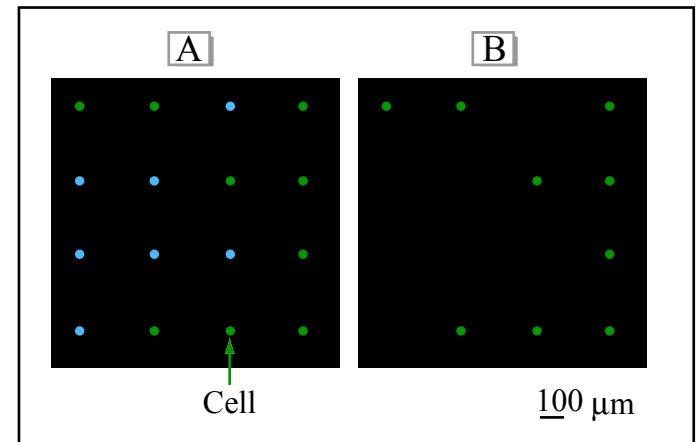


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# Control for MEMS

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- > Many MEMS devices/systems are run open loop – why?
- > Open loop
  - Does not need additional sensors or actuators
    - » These increase fab complexity, chip size, cost, etc.
  - But is sensitive to perturbations
- > Closed loop
  - Requires extra complexity
  - More stable performance
- > If you don't need closed-loop control, don't use it

# Outline

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- > Motivation for using feedback
- > The uses of (linear) feedback
- > **Feedback of Nonlinear Systems**
  - Quasi-static systems
  - Oscillators

# Feedback in Nonlinear Systems

- > Can no longer use nice algebraic forms
- > However, the same idea still holds:
  - The controller pre-distorts the control signal so as to compensate for nonlinearities in the plant

$$X_{out} = X_0 \tan^{-1} \left[ \frac{F}{F_0} \right]$$

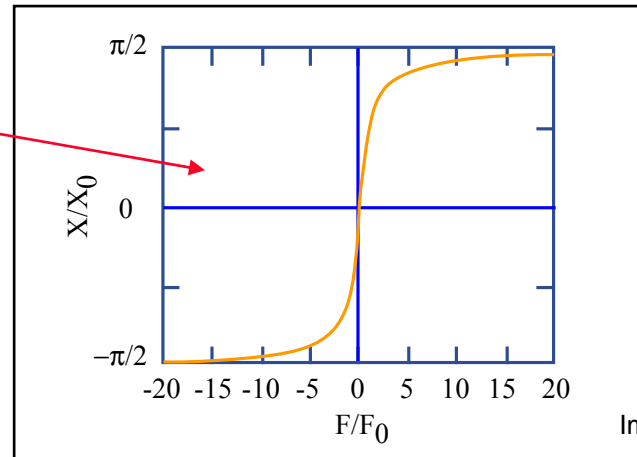
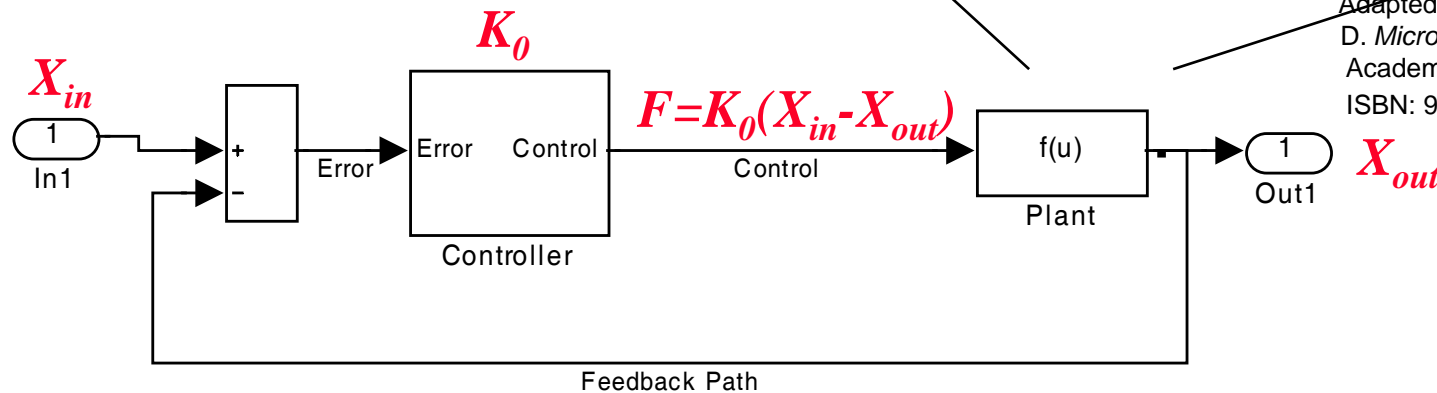


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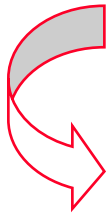
Adapted from Figure 15.11 in Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 412. ISBN: 9780792372462.



# Feedback in Nonlinear Systems

- > Controller “linearizes” in nonlinear system
- > This also occurs in op-amps

$$X_{out} = X_0 \tan^{-1} \left[ \frac{K_0 (X_{in} - X_{out})}{F_0} \right]$$



$$X_{in} - X_{out} = \frac{F_0}{K_0} \tan \left[ \frac{X_{out}}{X_0} \right]$$

$$X_{in} - X_{out} \approx 0 \text{ for } K_0 \gg F_0$$

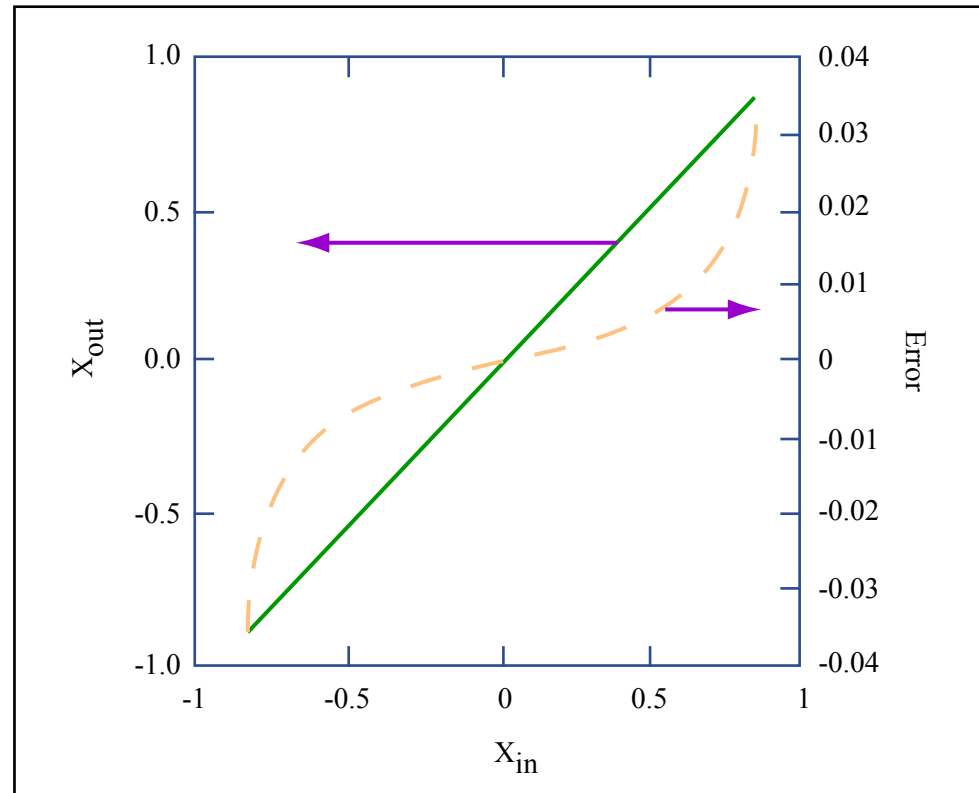


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Adapted from Figure 15.12 in: Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 413. ISBN: 9780792372462.

# Resonators, Oscillators and Limit Cycles

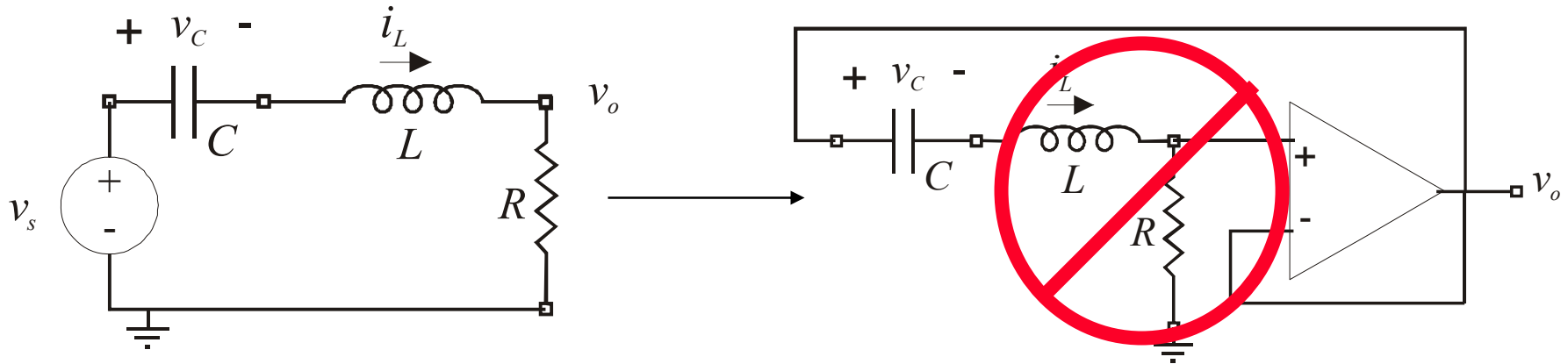
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- > **Resonator:** a *passive* element that exhibits underdamped oscillatory behavior
- > **Oscillator:** a resonator plus an *active* gain element that compensates the resonator losses and results in steady oscillatory behavior
- > **Limiting:** a required *nonlinearity* in either the resonator or gain element
- > **Limit Cycle:** stable closed path in state space



# Example: Resonant RLC Circuit

- > While a linear amplifier can *theoretically* produce an undamped linear system, it cannot create an oscillator



$$\frac{dv_C}{dt} = \frac{1}{C} i_L$$

$$\frac{dv_C}{dt} = \frac{1}{C} i_L$$

$$\frac{di_L}{dt} = \frac{1}{L} (v_s - v_C - i_L R)$$

$$\frac{di_L}{dt} = \frac{1}{L} (v_0 - v_C - v_0) = -\frac{v_C}{L}$$

# Example: Resonant RLC Circuit

- > No stable limit cycle
- > Vary gain  $A$  of op-amp circuit

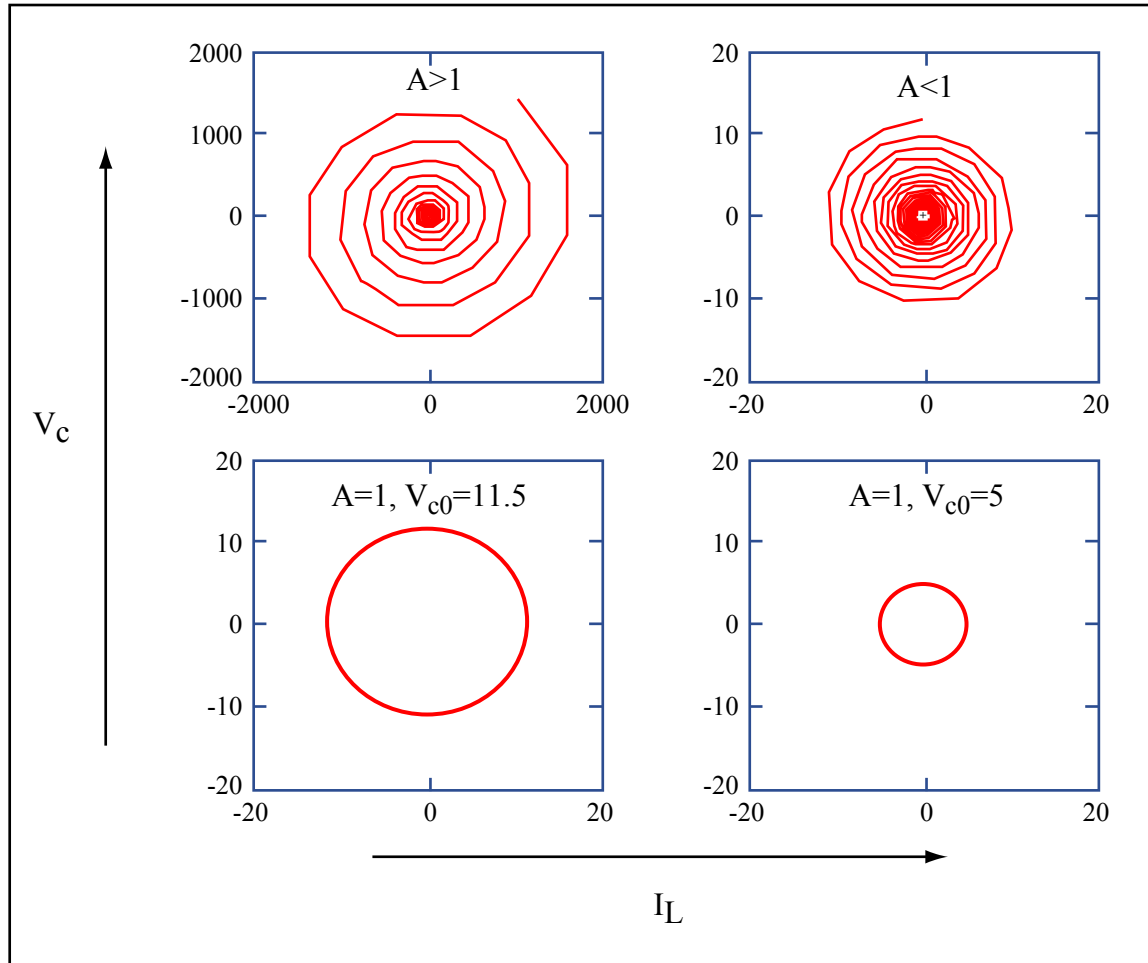


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# Adding a limiter creates an oscillator

> Add in arctangent limiter

$$v_s = V_1 \tan^{-1} \left( \frac{v_0}{V_2} \right)$$

state eqns.

$$\frac{dv_C}{dt} = \frac{1}{C} i_L$$

$$\frac{di_L}{dt} = \frac{1}{L} \left[ V_1 \tan^{-1} \left( \frac{A i_L R}{V_2} \right) - v_C - i_L R \right]$$

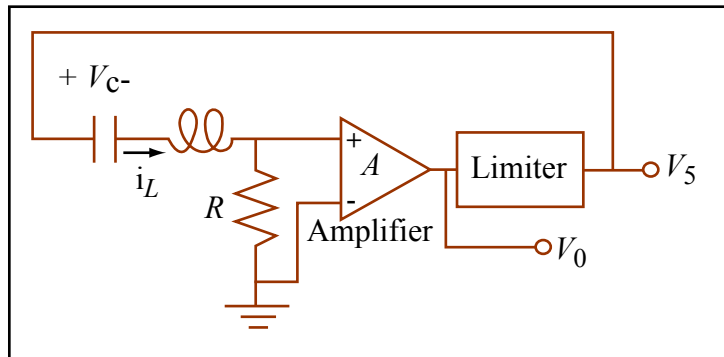
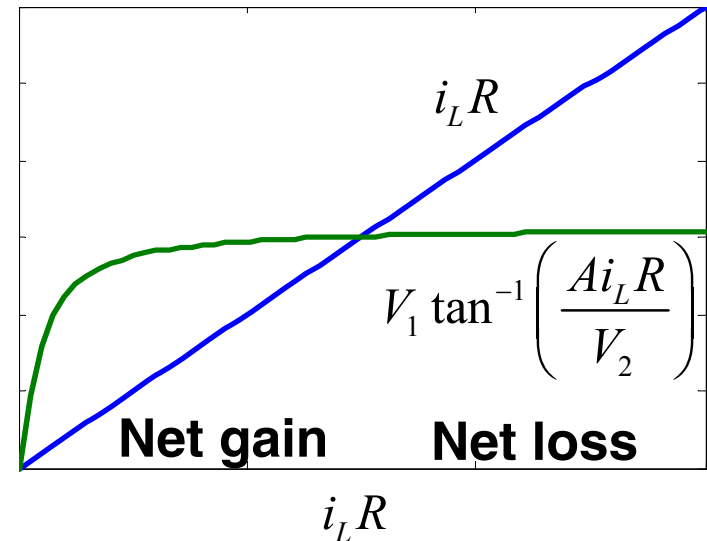


Image by MIT OpenCourseWare.

Adapted from Figure 15.15 in Senturia, Stephen D. *Microsystem Design*. Boston, MA: Kluwer Academic Publishers, 2001, p. 416. ISBN: 9780792372462.

For  $\frac{AV_1}{V_2} > 1$



# Marginal Oscillator

> Gradual limiting leads to nearly sinusoidal waveforms for weakly damped resonators

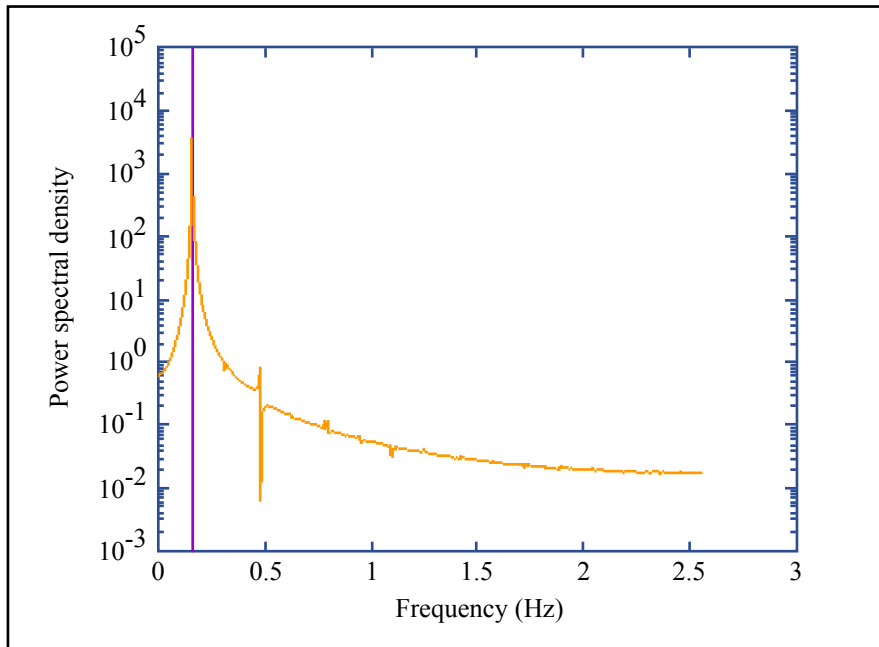


Image by MIT OpenCourseWare.

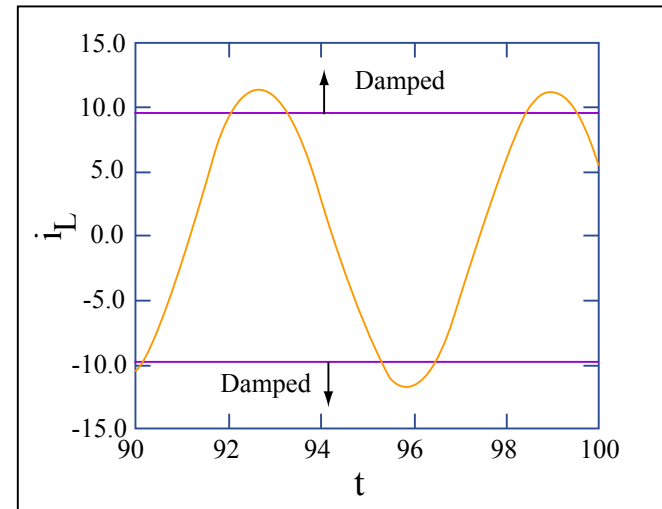


Image by MIT OpenCourseWare.

Adapted from Figure 15.17 in: Senturia, Stephen D. *Microsystem Design* Boston, MA: Kluwer Academic Publishers, 2001, p. 418. ISBN: 9780792372424

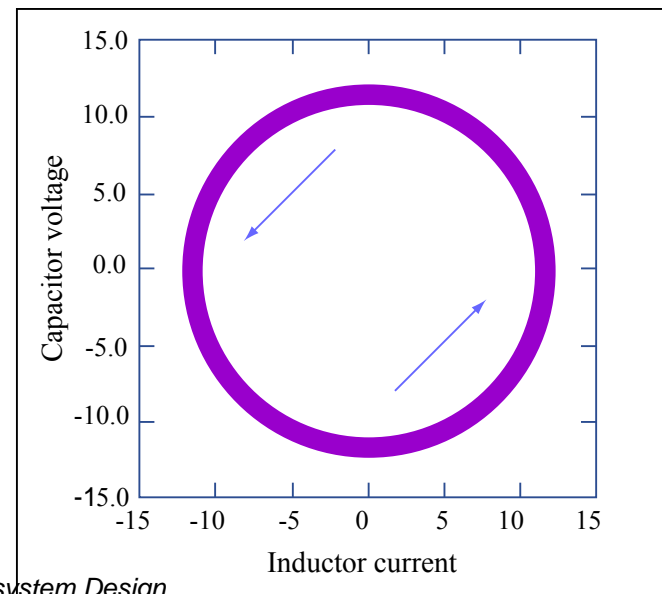


Image by MIT OpenCourseWare.

Adapted from Figure 15.18 in: Senturia, Stephen D. *Microsystem Design*.

Boston, MA: Kluwer Academic Publishers, 2001, p. 419. ISBN: 9780792372462.

# Oscillator Parting Comments

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- > Do not confuse a resonator with an oscillator**
- > The oscillator is the combined result of a resonator with a suitably designed circuit**
- > The oscillator is intrinsically nonlinear**
- > The limit cycle obeys its own dynamics, which can be discovered by analyzing the perturbation of a limit cycle and the time required to recover**

# Conclusions

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- > When properly designed, feedback can**
  - Reduce sensitivity to variations
  - Decrease response time of system
  - Control output with zero DC error
  - Stabilize unstable systems
- > But it may be too complicated or unnecessary for your MEMS part → a systems issue**
- > All elements in the feedback path have poles, and these can cause instabilities**
- > Numerous methods exist to analyze control systems in frequency, time, root-locus, and state-space domains**