8.512 Theory of Solids II Spring 2009

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8.512 Theory of Solids Problem Set 3 Due March 4, 2004

- This problem reviews the Boltzmann equation and compares the result with the Kubo formula. For a derivation of the Boltzmann equation, read p.319 of Ashcroft and Mermin.
  - (a) Consider an electron gas subject to an electron field

$$\vec{E}(\vec{r},t) = \vec{E}_0 e^{i(\vec{q}\cdot\vec{r}-i\omega t)}$$
(1)

The Boltzmann equation in the relaxation time approximation is

$$\frac{\partial f}{\partial t} + \frac{\partial f}{\partial \vec{r}} \cdot \vec{v} + \frac{\partial f}{\partial \vec{k}} (-e) \vec{E} = -\frac{f - f_0}{\tau}$$
(2)

where  $f_0$  is the equilibrium distribution

$$f_0(\epsilon) = \frac{1}{e^{\beta\epsilon} + 1} \tag{3}$$

Write

$$f(\vec{r}, \vec{k}, t) = f_0(\epsilon_k) + \Phi(\vec{k})e^{i\vec{q}\cdot\vec{r}-i\omega t}$$
(4)

and work to first order in  $\Phi(\vec{k})$  and  $\vec{E}$ . Show that the conductivity is given by

$$\sigma(\vec{q},\omega) = \frac{e^2}{4\pi^3} \int d\vec{k} \frac{\tau(\hat{e}\cdot\vec{v})^2}{1-i\tau(\omega-\vec{q}\cdot\vec{v})} \left(-\frac{\partial f_0}{\partial\epsilon_k}\right)$$
(5)

where  $\hat{e}$  is the unit vector in the direction of  $\vec{E}_0$ .

(b) A simple way to derive the Kubo formula is to compare the energy dissipation rate  $\sigma E_0^2$  with the rate of photon absorption. At finite temperature, we need to include both absorption and emission processes. Show that for free electrons (including spin)

$$\sigma'(q,\omega) = \frac{2e^2}{m^2} \frac{1}{V} \sum_{\alpha,\beta} |<\beta| e^{i\vec{q}\cdot\vec{r}} \hat{e}\cdot\vec{p} |\alpha>|^2 \frac{(f_0(E_\alpha) - f_0(E_\beta))}{(E_\beta - E_\alpha)/\hbar} \delta\left(\hbar\omega - (E_\beta - E_\alpha)\right)$$
(6)

Using the Kramers-Kronig relation, show that the complex conductivity is

$$\sigma(\vec{q},\omega) = \frac{2e^2}{m^2} \frac{1}{V} \sum_{\alpha,\beta} \frac{|\langle \beta| e^{i\vec{q}\cdot\vec{r}} \hat{e}\cdot\vec{p} |\alpha\rangle|^2}{(E_\beta - E_\alpha)\hbar} \frac{(-i)\left(f_0(E_\alpha) - f_0(E_\beta)\right)}{(E_\beta - E_\alpha - \hbar\omega - i\eta)}$$
(7)

- (c) For  $|q| \ll k_F$ , show that Eq.(7) reduces to Eq.(5) under the assumptions that  $|\alpha \rangle, |\beta \rangle$  are plane waves and  $\eta$  is identified with  $\frac{1}{\tau}$ .
- 2. Equation (5) in Problem 1 is valid for any relation between  $\vec{q}$  and  $\hat{e}$ . In an isotropic material the response can be separated into the longitudinal  $(\vec{q} \parallel \hat{e})$  and transverse parts  $(\vec{q} \perp \hat{e})$ . The latter is appropriate for the propagation of electromagnetic waves.
  - (a) For  $T \ll \epsilon_F$ , show that the transverse conductivity can be written as an integration over the Fermi surface.

$$\sigma_{\perp}(\vec{q},\omega) = \frac{\sigma_0}{1 - i\omega\tau} \frac{3}{4} \int_{-1}^1 dx \frac{1 - x^2}{1 + sx}$$
(8)

where

$$s = \frac{iqv_F\tau}{1 - i\omega\tau} \tag{9}$$

In Eq.(8)  $\sigma_0 = ue^2 \tau/m$  is the DC Boltzmann conductivity and the integration variable x stands for  $\cos \theta$  in an integration over the Fermi surface.

(b) The integral in Eq.(8) can be done analytically. For our purposes, find the small |s| and large |s| limits. The small |s| limit is the Drude conductivity while the large |s| limit is called the "extreme anomalous region." It describes the situation when the electron mean free path  $\ell$  is much greater than the wavelength of light. Note that it is reduced from  $\sigma_0$  by the factor  $1/(q\ell)$ . Produce a simple argument to show that this reduction factor can be understood on the basis of kinetic theory of classical particles. (**Hint:** Consider a low frequency transverse electromagnetic wave. For  $q\ell \ll 1$ , all the electrons can absorb energy from the electric field. However, for  $q\ell \gg 1$ , only a fraction travelling almost parallel to  $\hat{e}$  can do so. The argument was first given by Pippard.)